



**ACCOUNT MAINTENANCE FORM**

ACCOUNT #  FA#

**PLEASE CHECK THE BOX OR BOXES WHICH APPLY TO THE CHANGES YOU ARE REQUESTING**

**REGISTRATION:** \_\_\_\_\_  
(MUST PROVIDE A COPY OF MARRIAGE LICENSE, DIVORCE DECREE, OR COURT ORDER FOR NAME CHANGES)

**ADDRESS CHANGE:**  
LEGAL ADDRESS: \_\_\_\_\_ MAILING ADDRESS: \_\_\_\_\_  
\_\_\_\_\_

**TELEPHONE CHANGE:**  
HOME TELEPHONE ( ) BUSINESS TELEPHONE ( ) MOBILE PHONE ( )

**EMPLOYMENT:**  
EMPLOYER NAME & ADDRESS: \_\_\_\_\_ OCCUPATION: \_\_\_\_\_  
\_\_\_\_\_

**ANNUAL INCOME:** \_\_\_\_\_  **NET WORTH:** \_\_\_\_\_

**TAX STATUS (CHOOSE TAX BRACKET):** LWTB – 0-15% \_\_\_\_ MDTB – 15.1-32% \_\_\_\_ HITB – 32.1-50% \_\_\_\_ TPTB – 50.1%+ \_\_\_\_

**OBJECTIVES:**

<b>PRIMARY OBJECTIVE (CHECK ONE)</b>	<b>RISK FACTOR (CHECK ONE)</b>
INCOME _____	HIGH RISK _____
LONG TERM GROWTH _____	LOW RISK _____
SHORT TERM GROWTH _____	MODERATE RISK _____
	SPECULATION _____

**INTERESTED PARTY:** Please add Following Individual / Company as Interested Party. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
**Choose One:**  Statements  Confirms  Both

**CLOSE ACCOUNT: PLEASE CLOSE ACCOUNT LISTED ABOVE. Account must have a Zero balance & hold no positions.**

**CLIENT'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**CLIENT'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**CLIENT'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**FINANCIAL ADVISOR'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_

**PRINCIPAL'S SIGNATURE:** \_\_\_\_\_ **DATE:** \_\_\_\_\_